



Docket No. 26119.100US1

PATENT/OFFICIAL

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAY 28 2002

GROUP 3600

Re Application of

BETTIS et al.

Serial No. 09/597,742

Filed: June 19, 2000

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: Group Art Unit: 2164
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: Examiner: Hani Kazimi

For: SYSTEM, METHOD AND COMPUTER READABLE MEDIUM CONTAINING
INSTRUCTIONS FOR EVALUATING AND DISSEMINATING INVESTOR
PERFORMANCE INFORMATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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MAY 20 2002

Technology Center 2100

Honorable Commissioner for Patents
Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

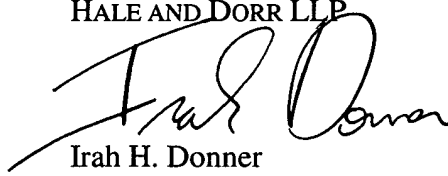
In accordance with 37 C.F.R. §§ 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search had been made or that information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of

publication indicated for an item is taken from the face of the item, and Applicant reserves the right to prove that the date of publication is in fact different.

The Commissioner is authorized to charge any deficiency in any fees pursuant to 37 CFR § 1.17 associated with this communication and to credit any excess payment to Deposit Account No. 08-0219.

Respectfully submitted,

HALE AND DORR LLP

A handwritten signature in black ink, appearing to read "Ira H. Donner", is written over the printed name.

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